Inorganic Chemistry

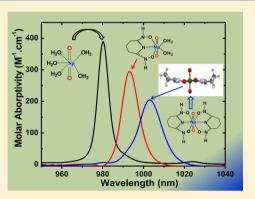
Complexation of Neptunium(V) with Glutaroimide Dioxime: A Study by Absorption Spectroscopy, Microcalorimetry, and Density Functional Theory Calculations

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Supporting Information

ABSTRACT: Complexation of NpO₂⁺ ions with glutaroimide dioxime (H₂L), a cyclic imide dioxime ligand that has been shown to form strong complexes with UO₂²⁺ in aqueous solutions, was studied by absorption spectroscopy and microcalorimetry in 1.0 M NaClO₄ aqueous solutions. NpO₂⁺ forms two successive complexes, NpO₂(HL)(aq) and NpO₂(HL)₂⁻ (where HL⁻ stands for the partially deprotonated glutaroimide dioxime ligand), with stability constants of log $\beta_{111} = 17.8 \pm 0.1$ and log $\beta_{122} = 33.0 \pm 0.2$, respectively. The complexation is both enthalpy- and entropy-driven, with negative enthalpies ($\Delta H_{111} = -52.3 \pm 1.0$ kJ/mol and $\Delta H_{122} = -96.1 \pm 1.4$ kJ/mol) and positive entropies ($\Delta S_{111} = 164 \pm 3$ J/mol/K and $\Delta S_{122} = 310 \pm 4$ J/mol/K). The thermodynamic parameters suggest that, similar to complexation of UO₂²⁺, the ligand coordinates with NpO₂⁺ in a tridentate mode, via the two oxygen atoms of the oxime groups and the nitrogen atom of the imide group. Density



functional theory calculations have helped to interpret the optical absorption properties of the NpO₂(HL)₂⁻ complex, by showing that the cis and trans configurations of the complex have very similar energies so that both configurations could be present in the aqueous solutions. It is the noncentrosymmetric cis configuration that makes the $5f \rightarrow 5f$ transition allowable so that the NpO₂(HL)₂⁻ complex absorbs in the near-IR region.

INTRODUCTION

²³⁷Np is formed in significant quantities in nuclear reactors (pressurized water reactors as well as fast reactors) through the successive neutron captures of 235 U followed by the β decay of 237 U.^{1,2} It is also an α -decay product of 241 Am. Neptunium is considered to be a problematic element in the spent nuclear fuel (SNF) reprocessing as well as in the environmental management of nuclear wastes, mainly for three reasons: (1) neptunium can exist in multiple oxidation states (III, IV, V, and VI) and precise control of its chemical behavior in the SNF reprocessing processes is difficult; (2) ²³⁷Np has a long half-life $(2 \times 10^6 \text{ years})$ so that the nuclear wastes containing ²³⁷Np will remain hazardous for a very long time (it is predicted that ²³⁷Np will become a major hazard, contributing 67% of the total radiation dose from high-level nuclear wastes after 75000 years³); (3) the most stable oxidation state of neptunium, Np^{V} as NpO₂⁺, has very high mobility in the geological environment because of its weak tendencies toward hydrolysis, precipitation, or sorption by the geomedia.

To achieve precise control over the chemical behavior of neptunium in the separation processes of SNF reprocessing, numerous studies have been conducted to understand the interactions, including complexation and redox reactions, of neptunium with organic ligands including carboxylic acids,^{4,5} amides,^{6,7} and hydroxamic acids.^{8–10} Some ligands, especially

those containing both oxygen and nitrogen donors [e.g., 1,10phenanthroline-2,9-dicarboxylic acid,¹¹ *N*-methyliminodiacetic acid (MIDA),¹² and dipicolinic acid (DPA)¹³], form fairly strong complexes with neptunium(V) and have the potential to be used as efficient complexants for neptunium. Other ligands, such as acetohydroxamic acid and formohydroxamic acid, exhibit redox capabilities of adjusting the oxidation states of neptunium to facilitate its separation from other metal ions.^{8–10}

A tridentate ligand containing both oxygen and nitrogen donors, glutaroimide dioxime (Figure 1), has recently been shown to form strong complexes with UO_2^{2+} , an actinyl cation with a linear dioxo configuration analogous to NpO_2^{+} .^{14,15} We hypothesize that this ligand, with a tridentate chelation mode similar to that of MIDA and DPA, could form fairly strong

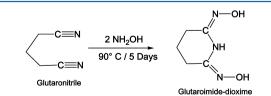


Figure 1. Scheme for synthesizing glutaroimide dioxime (H_2L) .

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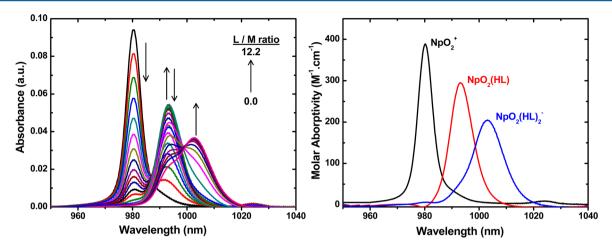


Figure 2. Spectrophotometric titration of NpO₂(ClO₄) with glutaroimide dioxime (left) and calculated molar absorptivities of NpO₂⁺ and two complexes (right). Cuvette solution: 0.24 mM NpO₂(ClO₄) at pH ~ 7. Titrant: $C_L = 20$ mM; $C_H = 10$ mM. Ionic strength: 1 M NaClO₄.

complex(es) with NpO_2^+ and that, with oxime groups similar to those in hydroxamic acids, this ligand could play a role in the redox reactions of neptunium in acidic solutions. The validity of both hypotheses are of potential importance in determining the chemical behavior of neptunium in the separation processes. Therefore, the present study was conducted to quantify the stability constants and enthalpy of complexation for the neptunium(V)/glutaroimide dioxime complexes in neutral solutions, where redox reactions between neptunium(V) and the ligand probably do not occur. The thermodynamic results, in conjunction with density functional theory (DFT) calculations, provide insight into the energetics of the complexation and the coordination modes in the complex. Furthermore, the thermodynamic data on the complexation are expected to help in understanding the mechanisms of the possible redox reactions in acidic solutions because the redox reactions between neptunium and organic ligands could proceed through the formation of an intermediate complex, as shown in a previous study.¹⁶ The redox behavior of neptunium in the presence of glutaroimide dioxime will be the subject of future studies.

EXPERIMENTAL SECTION

Chemicals and Reagents. Glutaroimide dioxime, denoted as H_2L in this paper, was synthesized by reacting glutaronitrile and hydroxylamine (1:2 mole ratio) in a 1:1 ethanol/water mixture at 80–90 °C (Figure 1). Detailed procedures for the synthesis were described elsewhere.¹⁴ Stirring the reaction mixture at 80–90 °C for 5 days resulted in the formation of H_2L , a white solid with >90% yield. The resultant material was purified by recrystallization and subsequently characterized by ¹H NMR and potentiometric titration.¹⁷

The neptunium(V) stock solution was prepared by dissolving solid neptunium oxide (NpO₂) in concentrated HCl, followed by HClO₄ fuming to remove the excess HCl and oxidize the neptunium to neptunium(VI). (*Caution!* (1) Extreme precautions must be taken in handling ²³⁷Np beause of the high radiation hazards from α radioactivity. (2) Extreme precautions must be taken to ensure the absence of any organic matter in the container before concentrated HClO₄ fuming. The presence of organic matter in the HClO₄ fuming process could lead to explosion.) To the obtained pink solution of neptunium(VI) was added a small amount of NaNO₂ to reduce it to neptunium(V), resulting in a green solution. Neptunium(V) was then precipitated as hydroxide with 1 M NaOH, filtered, washed with deionized water to remove the excess NaOH, and finally dissolved in 1 M HClO₄ to obtain a stock solution of neptunium(V). The oxidation state and the concentration of neptunium(V) in the stock were confirmed by absorption spectra at 980.2 nm (ε = 395 M⁻¹ cm⁻¹).¹⁸ The free acid concentration in the neptunium(V) stock solution was determined by Gran titration.¹⁹ Milli-Q water (resistivity \approx 18.2 M Ω ·cm²/cm at 25 °C) was used for the preparation of all solutions. The ionic strength of all working solutions was maintained at 1 M NaClO₄ (25 °C).

Spectrophotometry. The absorption spectra of the NpO₂⁺ solutions was collected in the wavelength region 950–1050 nm (0.1 nm interval) on a double-beam Varian Cary 6000i spectrophotometer using 10-mm-path-length quartz cells. The temperatures of the sample and reference cell holders were controlled by a Peltier device coupled with cooling water circulation from a water bath. The NpO₂⁺ solution in the cuvette was titrated with a buffered (half-neutralized) solution of glutaroimide dioxime. In each titration, appropriate aliquots of the titrant were added into the cell and mixed thoroughly for about 2 min before the spectrum was recorded. The mixing time was found to be sufficient to complete the complexation reaction. Usually 15–20 spectra were recorded in each set of titrations. The stability constants (β_{ijk}) of the NpO₂⁺/H₂L complexes were calculated by nonlinear least-squares regression analysis using the *HypSpec* program.²⁰

Microcalorimetry. Microcalorimetric titrations were performed on an isothermal titration microcalorimeter (ITC-4200). Both electrical and chemical calibrations were performed to validate the performance of the instrument. The chemical calibration was carried out by measuring the enthalpy of protonation of tris(hydroxymethyl)aminomethane at 25 °C, and the obtained enthalpy of protonation $(-47.6 \pm 0.3 \text{ kJ/mol})$ was in good agreement with the literature data.²¹ The titration was performed by adding the solution of glutaroimide dioxime into the reaction vessel containing NpO2⁺. About 50 additions of the titrant (5 μ L per addition) were made in each titration. The overall heat generated after each addition was measured. The dilution heat, obtained from a blank titration in separate experiments, was subtracted from the overall heat to obtain the net heat of reaction. Multiple titrations using different concentrations of neptunium, ligand, and proton (denoted as $C_{M\nu}$ $C_{\rm L}$ and $C_{\rm H}$) were performed to reduce the uncertainty of the results. The reaction heat measured by microcalorimetry was used, in conjunction with the equilibrium constants obtained by spectrophotometry, to calculate the enthalpy of complexation with the HypDeltaH program.²²

Computational Studies. The geometries of the neptunium complexes in the gas phase were optimized at the generalized gradient approximation level of DFT by using Becke's exchange functional,²³ in conjunction with Perdew's correlation functional (BP86),²⁴ where 60-electron-core pseudopotentials along with the corresponding def-SV(P) basis set were selected for the neptunium ion, and all other lighter atoms were treated at the all-electron level. The geometries of the complexes in the aqueous medium were also optimized using the conductor-like screening model (COSMO) approach²⁵ with the *TURBOMOLE* program package^{26,27} at the DFT level using the hybrid

Table 1. Thermodynamic Data for	the Complexation of NpC	2 ⁺ and UO ₂ ²⁺ with Glutaroimide	Dioxime (H ₂ L) at 25 °C
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0.5 110 ± 2 $14, 17$ 0.9 202 ± 3 $14, 17$
202 ± 3 14, 17
5.0 218 ± 14 14, 17
1.0 164 ± 3 p.w.
1.4 310 ± 4 p.w.
p.w.
p.w.
$5.0 197 \pm 14 14$
307 ± 59 14
14
14

^aCalculated by using the protonation constant of HL⁻ in 0.5 M NaCl from ref 17.

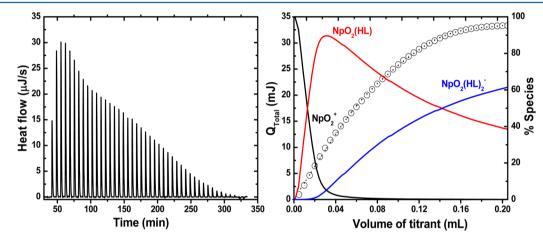


Figure 3. Calorimetric titration of NpO₂⁺ with glutaroimide dioxime at 25 °C. (Left) Thermograms (uncorrected for dilution heat). (Right) Cumulative heat (left *y* axis; circles, experimental; dotted line, fit) and speciation of NpO₂⁺ (right *y* axis) as a function of the titrant volume. Initial cup solution (0.90 mL): 0.224 μ mol of NpO₂(ClO₄) and 0.246 μ mol of H⁺. Titrant: 12.8 mM ligand (half-neutralized). Ionic strength: 1 M NaClO₄.

exchange correlation functional $(B3LYP)^{23,28,29}$ with the same pseudopotentials and basis sets as those used in the gas-phase calculation. The dielectric constant (ε) of water was considered as 80.2. For cavity generation, the following atomic radii were used in COSMO calculations: H, 1.30 Å; O, 1.72 Å; Np, 1.80 Å.³⁰

RESULTS

Absorption Spectroscopy. Figure 2 shows the representative spectrophotometric titration of NpO₂⁺ ions with H₂L in the near-IR region. Under the experimental conditions, no reduction of neptunium(V) by the ligand was observed. As the concentration of the ligand was increased, the intensity of the absorption band of free NpO2⁺ at 980.2 nm decreased and a new absorption band appeared at 993.4 nm. As the titration proceeded, the intensity of the absorption band at 993.4 nm reached a maximum at $C_{\rm L}/C_{\rm M} \sim 4$ and then started to decrease with the concomitant appearance of another absorption band at a longer wavelength (1002.9 nm). Factor analysis of the absorption spectra by the HypSpec program indicated the presence of three absorbing species, i.e., the free NpO₂⁺ and two successive NpO2+/H2L complexes. Various models including a variety of NpO2+/H2L complexes were tested, and the best fit was achieved by using the model including the successive formation of the (1, 1, 1) and (1, 2, 2) complexes, i.e., NpO₂(HL)(aq) and NpO₂(HL)₂, as represented by eqs 1 and 2.

$$\begin{split} \mathrm{NpO_2^{+}} &+ \mathrm{H^{+}} + \mathrm{L^{2-}} = \mathrm{NpO_2(HL)(aq)} \\ \beta_{111} &= [\mathrm{NpO_2(HL)(aq)}] / ([\mathrm{NpO_2^{+}}][\mathrm{H^{+}}][\mathrm{L^{2-}}]) \end{split} \tag{1}$$

$$NpO_{2}^{+} + 2H^{+} + 2L^{2-} = NpO_{2}(HL)_{2}^{-}$$

$$\beta_{122} = [NpO_{2}(HL)_{2}^{-}]/([NpO_{2}^{+}][H^{+}]^{2}[L^{2-}]^{2})$$
(2)

where L^{2-} represents the doubly deprotonated form of glutaroimide dioxime (H₂L \rightarrow 2H⁺ + L²⁻). The calculated molar absorptivities of NpO₂(HL)(aq) and NpO₂(HL)₂⁻ complexes are shown in Figure 2, while the stability constants of these complexes (log β_{111} and log β_{122}) are summarized in Table 1.

Microcalorimetry. Figure 3 shows a representative calorimetric titration of NpO_2^+ with glutaroimide dioxime. Positive spikes in the thermogram (left figure) represent exothermic reaction heat. The right side of the figure shows the accumulated reaction heat and the distribution of neptunium-(V) species as a function of the volume of titrant added. The calculated enthalpies and entropies of complexation at 25 °C are summarized in Table 1.

DISCUSSION

Comparison between the NpO_2^+ and UO_2^{2+} Complexes with Glutaroimide Dioxime. Table 1 shows a comparison of the thermodynamic parameters between the

corresponding glutaroimide dioxime complexes of NpO₂⁺ and UO₂²⁺. The complexation reactions of glutaroimide dioxime with neptunium(V) and uranium(VI) are all exothermic accompanied by large entropies of complexation. The enthalpies and entropies of complexation for the two systems are comparable in their trends and magnitudes, suggesting that the coordination modes in the glutaroimide dioxime complexes with NpO₂⁺ and UO₂²⁺ are probably similar.

Attempts to prepare crystals of the neptunium(V)/ glutaroimide dioxime complexes from the working solutions were not successful. Future attempts may include using different countercation(s) and/or raising the solution pH, based on the suggestions from an anonymous reviewer. However, in the absence of the experimentally obtained structure of the neptunium(V) complex, the crystal structure of the $UO_2(HL)_2$ complex previously identified by single-crystal X-ray diffractometry¹⁴ could provide insight into the structure of the analogous neptunium(V) complex. In the $UO_2(HL)_2$ complex (Figure 4), the middle imide group



Figure 4. Crystal structure of $UO_2(HA)_2$.¹⁴ Color code: U, gold; O, red; N, purple; C, gray; H, white.

(-CHNHCH-) of the ligand is deprotonated, while the protons of both oxime groups (-CH=NOH) are rearranged from the oxygen atom to the nitrogen atom. The electron density of the resulting HL⁻ ligand is delocalized on -O-N-C-N-C-N-O-, forming a conjugated system that coordinates to UO_2^{2+} . As shown by Figure 4, the structure of $UO_2(HA)_2$ is centrosymmetric, with the two HL⁻ ligands in the equatorial plane of UO_2^{2+} , and the uranium atom is located at the inversion center. On the basis of the similarity in the thermodynamic parameters for the complexation of glutaroi-mide dioxime with neptunium(V) and uranium(VI), it is reasonable to assume that the HL⁻ ligand also coordinates to NPO_2^+ via the equatorial plane in a tridentate mode and the analogous $NPO_2(HL)_2^-$ complex possesses a structure similar to that of the $UO_2(HL)_2$ complex.

As shown in Table 1, the NpO₂⁺/H₂L complexes are weaker than the corresponding UO₂²⁺/H₂L complexes (Table 1). For the purpose of comparison, formation of the NpO₂(HL)(aq) and NpO₂(HL)⁻ complexes could be expressed as reactions (3) and (4), with the equilibrium constants of $\beta_{1(11)}$ and $\beta_{1(22)}$, respectively.

$$NpO_{2}^{+} + HL^{-} = NpO_{2}(HL)(aq)$$

$$\beta_{1(11)} = [NpO_{2}(HL)(aq)]/([NpO_{2}^{+}][HL^{-}])$$
(3)

$$NpO_{2}^{+} + 2HL^{-} = NpO_{2}(HL)_{2}^{-}$$

$$\beta_{1(22)} = [NpO_2(HL)_2^{-}]/([NpO_2^{+}][HL^{-}]^2)$$
(4)

The values of log $\beta_{1(11)}$ and log $\beta_{1(22)}$ can be obtained by taking into consideration the first protonation reaction of glutaroimide dioxime (H⁺ + L²⁻ \rightarrow HL⁻). Table 1 shows that the values of log $\beta_{1(11)}$ and log $\beta_{1(22)}$ for the NpO₂⁺/H₂L complexes are 5.3 \pm 0.1 and 8.5 \pm 0.2, respectively. In comparison, the corresponding UO₂²⁺/H₂L complexes are stronger with log $\beta_{1(11)} = 10.4 \pm 1.3$ and log $\beta_{1(22)} = 18.5 \pm 1.1$. The difference in the stability constants between neptunium(V) and uranium(VI) complexes reflects the difference in the effective cationic charges of NpO₂⁺ (Z_{eff} = +2.2) and UO₂²⁺ (Z_{eff} = +3.2).³¹

Optical Properties and the Symmetry of Neptunium-(V) Species. It is known that the absorption bands of neptunium(V) in the near-IR region originate from the $f \rightarrow f$ transitions, which are electric-dipole-forbidden by Laporte's rule.^{32,33} Therefore, the intensities of the bands are sensitive to the symmetry of the coordination environment of neptunium-(V), as extensively studied for a number of NpO₂⁺ complexes by Krot and co-workers^{34,35} and summarized recently.³⁶ If the neptunium(V) complex is centrosymmetric and the neptunium atom is at the inversion center, the $f \rightarrow f$ transitions of neptunium(V) are completely forbidden and the near-IR absorption bands will be "silent". Only if the arrangement of ligands around NpO₂⁺ destroys the center of inversion are absorption bands of neptunium(V) observed.

For the system in this study, the optical absorption properties and schematic structures of the neptunium(V) species [free aquo NpO₂⁺ ion, NpO₂(HL)(aq), and NpO₂(HL)₂⁻] are shown in Figure 5. The free aquo NpO_2^+ ion, $NpO_2(HL)(aq)$, and $\ensuremath{\text{NpO}_2(\text{HL})_2}^-$ species show optical absorption bands at 980.2, 993.4, and 1002.9 nm, respectively, implying that none of the three species is centrosymmetric. This is easy to understand for the free aquo NpO_2^+ ion and $NpO_2(HL)(aq)$ species, as shown in Figure 5. The free aquo NpO_2^+ ion is not centrosymmetric because it has five water molecules in the equatorial plane, as determined by X-ray absorption studies.³⁷ Obviously, the 1:1 complex, $NpO_2(HL)(aq)$, cannot be centrosymmetric either, because its equatorial plane consists of one tridentate ligand and two water molecules. However, a question arises as to the optical absorption of the NpO₂(HL)₂ species: if we assume the $NpO_2(HL)_2^-$ complex possesses a structure similar to that of the $UO_2(HA)_2$ complex that is centrosymmetric (Figure 4), why does it show fairly strong optical absorption ($\varepsilon = 206 \text{ M}^{-1} \text{ cm}^{-1}$) at 1002.9 nm? The

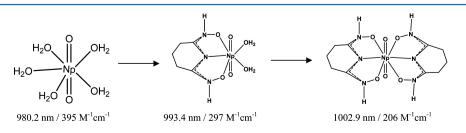


Figure 5. Stepwise formation of NpO_2^+ /glutaroimide dioxime complexes and their optical absorption properties (band position/molar absorptivity).

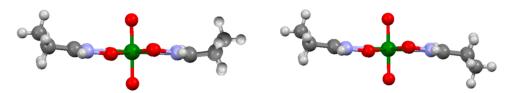


Figure 6. Calculated cis (left) and trans (right) isomers of the 1:2 NpO₂⁺/glutaroimide dioxime complex NpO₂(HL)₂⁻. Color code: Np, green; O, red; N, purple; C, gray; H, white.

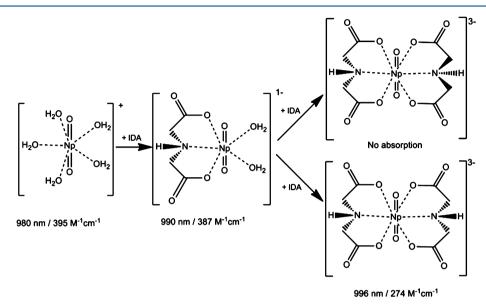


Figure 7. Stepwise formation of $NpO_2^+/iminodiacetate$ complexes and their optical absorption properties (band position/molar absorptivity).³⁶

discussions on the configurational isomers and the results of DFT calculations presented in the next section help to answer this question.

Molecular Modeling (DFT Calculations). Similar to cyclohexane, the six-membered piperidine ring in the glutaroimide dioxime ligand prefers a chair conformation.^{38,3} In the NpO₂(HL)₂⁻ complex shown in Figure 5, depending on the orientation of the chair conformation, the NpO₂(HL)₂⁻ complex could possess a cis or trans configuration relative to the equatorial plane of the NpO_2^+ ion: the cis isomer has both "chairs" pointing toward the same direction, while the trans isomer has the two "chairs" pointing toward opposite directions (Figure 6). The cis isomer should have optical absorption bands due to the $f \rightarrow f$ transition because it does not possess an inversion center. On the contrary, the trans isomer would be "silent" in optical absorption because it is centrosymmetric. (It should also be noted that, as an anonymous reviewer pointed out, the electronic states are not pure 5f and a small amount of covalence would make it electric-dipole-transition-allowed. Various effects could "relax" Laporte's rule, including the vibrational modes of odd parity, dynamic movements of counterions and molecules breaking the inversion symmetry, and contributions from electric quadrupole and magnetic dipole moments and other higher-order mechanisms.)

We hypothesize that the cis and trans isomers of the $NpO_2(HL)_2^-$ complex probably coexist in solution and it is the cis isomer that makes the absorption band at 1002.9 nm active (Figures 2 and 5). DFT calculations were performed to test this hypothesis. The geometries of the cis and trans isomers of $NpO_2(HL)_2^-$ were optimized, and the energies were calculated in the gas phase as well as in the aqueous medium using COSMO, as implemented in *TURBOMOLE*. The results show

that the two configurations possess very similar energies in both the gas and solution phases (Tables S1 and S2 in the Supporting Information). In the gas phase, the energy of the cis isomer is 2.23 kcal/mol lower than that of the trans isomer. However, in the aqueous medium, the energy of the cis isomer is 0.19 kcal/mol higher than that of the trans isomer. Such a small difference in energy suggests that the energy barrier for the configurational change from trans to cis (or vice versa) is very low and the two configurations could indeed coexist in solution. It is the presence of the noncentrosymmetric cis isomer that renders the absorption bands at 1002.9 nm. A similar observation has been reported for the complexation of NpO_2^+ with iminodiacetic acid (IDA),³⁶ in which cis and trans isomers of $NpO_2(IDA)_2^{3-}$ coexist, and the $NpO_2(IDA)_2^{3-}$ species absorbs at 996 nm, as shown in Figure 7. Detailed information on the DFT calculations is provided in the Supporting Information.

CONCLUSIONS

NpO₂⁺ forms moderately strong 1:1 and 1:2 complexes with glutaroimide dioxime (H₂L) in aqueous solutions, NpO₂(HL)(aq), and NpO₂(HL)₂⁻. No reduction of neptunium(V) was observed under the experimental conditions. The complexation of NpO₂⁺ with glutaroimide dioxime is driven by both exothermic enthalpies and positive entropies of complexation. The results of DFT calculations validated the hypothesis that the cis and trans isomers of NpO₂(HL)₂⁻ could coexist in solutions, and it is the presence of the nonsymmetric cis isomer that makes the f → f transitions allowable so that the NpO₂(HL)₂⁻ complex shows optical absorption in the near-IR region.

Inorganic Chemistry

ASSOCIATED CONTENT

S Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.inorg-chem.5b01319.

Tables S1 and S2 containing calculated energies of cis and trans isomers in gas and solvent phases and major bond distances in the NpO₂(HL)₂⁻ complex (PDF)

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Notes

The authors declare no competing financial interest.

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